

Overview Readiness to operate a professional standards scheme

Starting this module

Module 1 is central to the professional standards scheme application framework. We ask new applicants to complete this module first, before attempting to respond to the rest of the framework.

It includes the Readiness to operate a professional standards scheme <u>template</u> and <u>guidance</u> for completing it. You will need to do this **before** you attend your pre-application workshop.

The pre-application workshop is a meeting that you must arrange with us, where we will:

- discuss your application
- review your responses to the template
- confirm the requirements for your application
- answer any questions you may have.

After the workshop, you can complete the Module 1 submodules:

- <u>1.1 Occupational group and occupational association</u>
- <u>1.2 Governance, conflicts of interest and financial arrangements</u>
- <u>1.3 Enterprise risk management system</u>
- <u>1.4 Other statutory requirements.</u>

Understanding readiness to operate

This module uses risk-based information to help you understand whether your association is ready to regulate members' professional behaviour. The Professional Standards Councils will consider your association's capability before you can progress further with your scheme application.

The module covers 7 categories of risk:

- membership
- governance
- occupational group
- political risk or conflict of interest
- prior performance
- resourcing
- administrative.

Responding to the statements

Enter the required information about your association into the Readiness to operate a professional standards scheme <u>template</u>. You can use the <u>guidance</u> to help you.