

# Professional Standards Forum



Complaints as a risk management tool

PANEL SESSION

8:30 – 10:15am Followed by morning tea

Root cause analysis of complaints

**WORKSHOP** 

10:45am - 12:30pm Followed by lunch 21 NOV 2019

8:30am - 12:30pm

The Law Institute of Victoria

MELBOURNE

## Register by 31 October 2019



Online at Eventbrite



or by email pscinfo@psc.gov.au

### PANEL SESSION 8:30 – 10:15am

Professional Standards Councils, SOCAP and the Victorian Legal Services Board and Commissioner will present insights on the strategic use of complaints data to understand consumer experiences and drive improvements in conduct and the delivery of services. The Forum Panel will provide leaders of occupational associations with the opportunity to hear and discuss how they can better use their intelligence holdings to facilitate and promote consumer protection through improvement in professional standards under professional standards schemes.



#### Conduct and complaints: managing risks to consumers

The Professional Standards Councils regulate occupational associations to facilitate and promote consumer protection through improvement in professional standards under professional standards schemes. Chair of Councils, John Vines OAM, will discuss the Councils role in supervising self-regulating occupations and professions, what complaints data tells us about conduct risks across professions, and the opportunities for associations to better use their complaints data.



#### Improving regulatory outcomes for legal services

The Victorian Legal Services Board and Commissioner (VLSB+C) pursues an outcomes-focused, risk-based, and evidence-based approach in regulating Victoria's legal profession. CEO and Victorian Legal Services Commissioner, Fiona McLeay, will explain how data routinely collected by the VLSB+C is crucial to this approach because of what it reveals about the profession and people who hold practising certificates.



#### Return on Investment of Effective Complaint Handling

Fiona Brown, Chief Executive of the Society of Consumer Affairs Professional will share the reasons why people complain, identify complaining behaviour and dissatisfaction, and the return on investment for businesses of effectively handling complaints. Definitions and steps of good complaint management will be introduced, for practical take away implementation for your business, and importantly the measurable ways to report on benefits across your organisation.



A practical risk management workshop for professional standards and complaints management staff of occupational associations. The Forum Workshop will be co-delivered by SOCAP's expert in complaints handling, Nicole Cullen and Councils' expert in professions and professional standards regulation, John R Rappell. Participants will learn strategies and methodologies to implement in operating their professional standards scheme. Participants will be able to more effectively identify the root cause of complaints and to use that intelligence to protect consumers by driving improvements in the competence and conduct of their associations members.



#### Nicole Cullen, SOCAP

Nicole is an expert in complaints handling and conflict management. In a career spanning over two decades, she has worked as a litigation lawyer, mediator, facilitator, manager, consultant and trainer. Nicole has a strong interest in early and effective complaints handling and communication based on many years of professional experience resolving escalated complaints.



John R Rappell, Professional Standards Authority John is the Councils' Director Professional

Standards Regulation and leads two teams. One team assesses professional standards schemes applications while the second team supervises occupational associations once a scheme is operational. John has the hands-on experience of leading occupational associations as they evolve into self regulating professions.



